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# FEE TRANSMITTAL

## for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 1,880.00

### Complete if Known

Application Number	10/690,915
Filing Date	10/22/2003
First Named Inventor	D.T. Zatorski et al
Examiner Name	Kershteyn, I.
Art Unit	3745
Attorney Docket No.	GE134254

### METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 07 - 0865

Deposit Account Name: General Electric Co.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

### FEE CALCULATION (continued)

3. ADDITIONAL FEES									
Large Entity		Small Entity		Fee Description		Fee Paid			
Fee Code	Fee (\$)	Fee Code	Fee (\$)						
1051	130	2051	65	Surcharge - late filing fee or oath					
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet					
1053	130	1053	130	Non-English specification					
1812	2,520	1812	2,520	For filing a request for ex parte reexamination					
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action					
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action					
1251	110	2251	55	Extension for reply within first month					
1252	430	2252	215	Extension for reply within second month					
1253	980	2253	490	Extension for reply within third month					
1254	1,530	2254	765	Extension for reply within fourth month					
1255	2,080	2255	1,040	Extension for reply within fifth month					
1401	340	2401	170	Notice of Appeal					
1402	340	2402	170	Filing a brief in support of an appeal					
1403	300	2403	150	Request for oral hearing					
1451	1,510	1451	1,510	Petition to institute a public use proceeding					
1452	110	2452	55	Petition to revive - unavoidable					
1453	1,370	2453	685	Petition to revive - unintentional					
1501	1,370	2501	685	Utility issue fee (or reissue)				1,400	
1502	490	2502	245	Design issue fee					
1503	660	2503	330	Plant issue fee					
1460	130	1460	130	Petitions to the Commissioner					
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)				180	
1806	180	1806	180	Submission of Information Disclosure Stmt					
8021	40	8021	40	Recording each patent assignment per property (times number of properties)					
1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))					
1610	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))					
1801	790	2801	395	Request for Continued Examination (RCE)					
1802	900	1802	900	Request for expedited examination of a design application				300	
Other fee (specify)				Publication Fee					
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3)		(\$ ) 1,880			

### FEE CALCULATION

1. BASIC FILING FEE					
Large Entity		Small Entity		Fee Description	
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	790	2001	395	Utility filing fee	
1002	350	2002	175	Design filing fee	
1003	550	2003	275	Plant filing fee	
1004	790	2004	395	Reissue filing fee	
1005	180	2005	80	Provisional filing fee	
SUBTOTAL (1)				(\$ ) 0	

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE					
		Extra Claims		Fee from below	
Total Claims		-20** =		X 18	= 0
Independent Claims		-3** =		X 88	= 0
Multiple Dependent					

Large Entity		Small Entity		Fee Description	
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	88	2201	44	Independent claims in excess of 3	
1203	300	2203	150	Multiple dependent claim, if not paid	
1204	88	2204	44	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				(\$ ) 0	

\*\*or number previously paid, if greater. For Reissues, see above

SUBMITTED BY		(Complete if applicable)	
Name (Print/Type)	WILLIAM SCOTT ANGE	Registration No. (Attorney/Agent)	33,582
Signature	[Signature]	Telephone	513-243-5955
		Date	26 January 2005

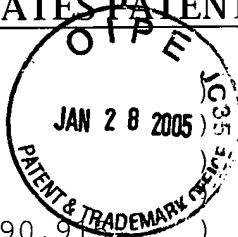
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE***Applicant:*

D.T. Zatorski et al

*Art Unit:* 3745*Application No.:* 10/690,915*Confirmation No.:* 6870*Examiner:* Kershteyn, I.*Filed:* 10/22/2003*Title:* **Split Flow Turbine Nozzle****SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop Issue Fee  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98.

- [ X ] 1. A list of documents on Form PTO-1449 or Substitute together with copies of each identified document (other than U.S. patents and U.S. patent application publications unless required by the Office) and a translation thereof or a concise explanation of each non-English language document or a Search Report or communication from a non-US patent office or an International Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

Japanese Reference WO 99/36675 contains an English abstract describing the stationary blade with air passage 10 for cooling the thin trailing end, with air discharge through the side hole 12 in the inner shroud. Note the several flow passages illustrated in the figures. This reference is identified as a PCT publication in US 6,315,518 by Uematsu et al.

This paper is submitted in accordance with:

- [ ] 2. 37 CFR 1.97(b): [within three months of national, non-CPA filing, prior to first Office Action, on the merits, or prior to first office action after filing an RCE]
- [ ] 3. 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- [ ] a. The required Certification made in item 5 below; or

---

**CERTIFICATE OF MAILING (37 CFR 1.8a)**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

FRANCIS L. CONTE

(Name of person mailing paper)

(Signature of person mailing paper)

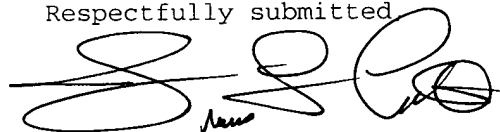
26 January 2005

(Date)

- [ ] b. The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.
- [ X ] 4. 37 CFR 1.97(d): [on or before issue fee payment]; and
- a) The required Certification is stated in item 5 below; and
- b) The \$180.00 fee specified in 37 C.F.R. 1.17(p) for submission of this Information Disclosure Statement is authorized in item 6 below.
- [ X ] 5. Certification
- [ X ] a. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- [ ] b. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.
- [ X ] 6. Payment of all applicable fees:

Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0865 of General Electric Company in accordance with attached Fee Transmittal for FY 2005.

Respectfully submitted



Francis L. Conte  
Registration No. 29,630  
Attorney for Applicant

Date: 26 January 2005

6 Puritan Avenue  
Swampscott, MA 01907  
Tel: 781-592-9077  
Fax: 781-592-4618

Attachments:

Form PTO-1449;  
Listed References AF through AL (6)  
Fee Transmittal for FY2005 (PTO/SB/17)

PTO-1449

ATTY. DOCKET NO.  
GE134254SERIAL NO.  
10/690,915

**SUPPLEMENTAL**  
**Information Disclosure Statement**  
**by Applicant(s) - List of Items**

APPLICANT  
D.T. Zatorski et alFILING DATE  
10/22/2003

GROUP 3745

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
	AA	5 3 9 9 0 6 5	05-1995	Kudo et al			
	AB						
	AC						
	AD						
	AE						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
	AF	0 5 4 2 4 0 3 A1	05-1993	EP				
	AG	1 1 3 6 6 5 2 A1	09-2001	EP				
	AH	0 9 3 0 4 1 9 A1	07-1999	EP				
	AI	1 4 8 9 2 6 5 A2	12-2004	EP				
	AJ	0 8 6 4 7 2 8 A2	09-1998	EP				
	AK	9 9 3 6 6 7 5 A1	07-1999	WO			Abstract	
	AL	0 7 6 8 4 4 8 A1	04-1997	EP				
	AM							
	AN							

## OTHER INFORMATION (INCLUDING AUTHOR, TITLE, PERTINENT PAGES, ETC.)

	AR	
	AS	
	AT	
EXAMINER		DATE CONSIDERED